

11/16/01

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))

Attorney Docket No.	IN-5490
First Named Inventor	Charles L. TAZZIA et al.
Filing Date	November 15, 2001
Title	IMPROVED METHOD FOR MAKING AN AQUEOUS DISPERSION
Mail Certificate	HAND DELIVER

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application, Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **38** ]  
-(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross References to Related Application  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
- Background of the invention  
- Background of the invention  
- Background of the invention  
- Brief Summary of the invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C.113) [Total Sheets ☐ ]
5. ☒ Oath or Declaration [Total Sheets **0** ]  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR § 1.63(d))  
(for continuation/divisional with Box 17 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR§3.73(b) Statement ☒ Power Of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/ PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ other: .....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment;

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. **0** filed on \_\_\_\_\_  
 Prior application information: Examiner Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

26922

PATENT-TRADEMARK OFFICE

Country	USA	Telephone	(248) 948-2020	Fax	(248) 948-2093
Name (print Type)	Mary E. Golota	Registration No. (Attorney Agent)	36,814		
Signature	<i>Mary E. Golota</i>	Date	November 16, 2001		

The PTO did not receive the following  
listed item(s) assignment

(Utility Patent Application Transmittal (PTO/SB/05) [4-1A] -page 1 of 1

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.  
These are the fees effective October 1, 2001

Attorney Docket **IN-5490**

First Named Inventor **Charles L. TAZZIA et al.**

Filing Date **November 16, 2001**

Title **IMPROVED METHOD FOR MAKING AN AQUEOUS DISPERSION**

Mail Certificate **HAND DELIVER**

**TOTAL AMOUNT OF PAYMENT \$1,568.00**

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to change indicated fees and credit any over payments to:

Deposit Account Number **23-3425**

Deposit Account Name **BASF Corporation**

☒ Change Any Additional Fee ☒ Charge the Issue Fee Set in 37 CFR §  
Required Under 37 CFR §§ 1.16 and 1.17 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Fee Code Entity Fee (\$)

101	740	Utility filing fee	\$740.00
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	150	Provisional filing fee	
SUBTOTAL (2)			\$740.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
66 -20** = 46	x 18	= \$828.00	
Independent Claims 03 - 3** = 0	x 84	= -0-	
Multiple Dependent	290	= -0-	

\*\*Or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee	
103 18	Claims in excess of 20	
102 80	Independent claims in excess of 3	
104 270	Multiple dependent claims, if not paid	
109 82	**Reissue independent claims over original patent	
110 22	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$828.00)

## FEE CALCULATION

### 3. ADDITION FEES

Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105 130		Surcharge -late filing fee or oath	
127 50		provisional ailing or cover sheet	
139 130		Non-English specification	
147 2,520		For filing request for examination	
112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840		Requesting publication of SIR after Examiner action	
115 110		Extension for reply within first month	
116 400		Extension for reply within second month	
117 890.		Extension for reply within third month	
118 1,510		Extension for reply within fourth month	
128 2,060		Extension for reply within fifth month	
119 310		Notice of Appeal	
120 310		Filing a brief in support of an appeal	
121 270		Request for oral hearing	
138 1,510		Petition to institute a public use proceeding	
140 110		Petition to revive-unavoidable	
141 1,320		Petition to revive-unintentional	
142 1,320		Utility issue fee (or reissue)	
143 450		Design issue fee	
144 670		Plant issue fee	
122 130		Petition to the Commissioner	
123 50		Petition related to provisional application	
126 240		Submission of information Disclosure Statement	
581 40		Recording each patent Assignment per property (time number of properties)	
146 790		Filing a submission after final rejection	
149 790		For each additional invention to be examined (37 CFR 1.129(b)) (specify)	
Other fee		Reduced By Basic Fee Paid Filing	-0-

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

or ☐ Correspondence address below



**26922**

PATENT-TRADEMARK OFFICE

Country **USA** Telephone **(248) 948-2020** Fax **(248) 948-2093**

Name (print Type) **Mary E. Golota** Registration No. (Attorney Agent) **36,814**

Signature *Mary E. Golota* Date **November 16, 2001**

PATENT

(Practitioner's Docket No. IN-5490)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Charles L. TAZZIA et al.

US Serial No.: Herewith

Filed: November 16, 2001

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: IMPROVED METHOD FOR MAKING AN AQUEOUS DISPERSION

Commissioner of Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING FOR HAND DELIVER

I hereby certify that the attached correspondence comprising:

<input checked="" type="checkbox"/>	Post Card		
<input checked="" type="checkbox"/>	Utility Transmittal Cover letter	01	Page(s)
<input checked="" type="checkbox"/>	Fee Transmittal in duplicate	01	Page(s)

<input checked="" type="checkbox"/>	English Application				
Specification on	28	pages;	claims on	09	pages;
the abstract on	01	page(s);	drawings on		page(s).

is being hand deliver by Cantwell & Paxton to the Box Patent Application Commissioner for Patents, Washington, D.C. 20231.

on November \_\_\_\_\_

signature

(type or print name of person certifying)

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